

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----X  
In re :

LEHMAN BROTHERS HOLDINGS INC., *et al.*, :

Debtors. :  
-----X

Chapter 11 Case No.

08-13555 (JMP)  
(Jointly Administered)

Ref. Docket Nos. 19993, 20496,  
20594, 20595, 20598, 20614

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On October 7, 2011, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
12<sup>th</sup> day of October, 2011

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: CANTOR FITZGERALD SECURITIES  
TRANSFEROR: WELLS FARGO & COMPANY  
110 E. 59TH STREET  
NEW YORK NY 10022

Please note that your claim # 29858-01 in the above referenced case and in the amount of  
\$60,000,000.00 Unliquidated has been transferred (**unless previously expunged by court order**)

ELLIOTT ASSOCIATES, L.P.  
TRANSFEROR: CANTOR FITZGERALD SECURITIES  
ATTN: MICHAEL STEPHAN  
712 5TH AVENUE, 35TH FL  
NEW YORK NY 10019

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 20594 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 10/07/2011

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on October 7, 2011.

**EXHIBIT B**

TIME: 14:55:38  
DATE: 10/07/11

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

| Name                                     | Address  |
|--|--|
| BANQUE DE GESTION                        | EDMOND DE ROTHSCHILD - MONACO 2, AVENUE DE MONTE-CARLO B.P. 317 MONACO CEDEX 98006 MONACO                                    |
| CANTOR FITZGERALD SECURITIES             | TRANSFEROR: WELLS FARGO & COMPANY 110 E. 59TH STREET NEW YORK NY 10022   |
| CLARIDEN LEU LTD.                        | TRANSFEROR: BANQUE DE GESTION ATTN: ALLEN GAGE 1 MADISON AVENUE NEW YORK NY 10010  |
| CREDIT SUISSE (UK) LIMITED               | ATTN: ALLEN GAGE 1 MADISON AVE NEW YORK NY 10010   |
| CREDIT SUISSE (UK) LIMITED               | CRAVATH, SWAINE & MOORE LLP WORLDWIDE PLAZA ATTN: RICHARD LEVIN 825 EIGHTH AVENUE NEW YORK NY 10019                          |
| ELLIOTT ASSOCIATES, L.P.                 | TRANSFEROR: CANTOR FITZGERALD SECURITIES ATTN: MICHAEL STEPHAN 712 5TH AVENUE, 35TH FL NEW YORK NY 10019                     |
| ELLIOTT INTERNATIONAL, L.P.              | TRANSFEROR: CANTOR FITZGERALD SECURITIES ATTN: MOCHAEL STEPHAN 712 FIFTH AVE., 35TH FLOOR NEW YORK NY 10019                  |
| ESCA CO., LTD.                           | TRANSFEROR: LEHMAN BROTHERS JAPAN INC ATTN: KATSUYA HONMA 7-1, TSUBAKI-CHO NAKAMURA-KU NAGOYA-SHI AICHI 453-0015 JAPAN       |
| GOLDMAN, SACHS & CO.                     | TRANSFEROR: CREDIT SUISSE (UK) LIMITED 30 HUDSON STREET, 36TH FLOOR JERSEY CITY NJ 07302                                     |
| MERRILL LYNCH INTERNATIONAL              | TRANSFEROR: MERRILL LYNCH JAPAN SECURITIES CO., LTD. ATTN: JAMES RUSSELL 2 KING EDWARD STREET LONDON EC1A 1HQ UNITED KINGDOM |
| MERRILL LYNCH JAPAN SECURITIES CO., LTD. | TRANSFEROR: ESCA CO., LTD. NITONEASHI 1-CHOME BUILDING 1-4-1 NITONEASHI, CHUO-KU TOKYO 103-8230 JAPAN                        |

Total Number of Records Printed

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